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Docket No. 3000315-7038072001 (ACI-004)

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Celso J. Bagaoisan, et al.

Serial No.: 10/806,934

For: AUTO-INJECTOR APPARATUS AND METHODS FOR SEALING A VASCULAR PUNCTURE

Croup Art Unit: 3764

Confirmation No.: 2871

Examiner: Not-Yet-Assigned

INFORMATION DISCLOSURE STATEMENT

M/S: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR § 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. Copies of US Patents and US Patent Publications are not enclosed, pursuant to the US Patent & Trademark Office waiver of this requirement under 37 CFR § 1.98 (a)(2)(i) for patent applications filed after June 30, 2003.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING (37 C.F.R. §1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposit with the Unites States Postal Services on the date shown below with sufficient postage as "First Class Mail" to addressee in an envelope addressed to the Commissioner for Patents, Alexandria, VA 22313-1450

Date of deposit
PA/52148631.1

Signature of Person depositing Paper

Maritza D. Kidd Name of Person transmit

Information Disclosure Statement Filing Provision:

filed ur 1.491;	three monder § 1. or (3) be	S is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) on the filing date of the application, which is not a continued prosecution application 53(d) or (2) within three months of entry of the national stage as set forth in 37 CFR § after the mailing of a first Office action on the merits; or (4) before the mailing of a first offer filing a request for continued examination under § 1.114. Thus, no fee is required.
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below.
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
on the 1 § 1.311	merits, b	S is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action out before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR
		The fee due under 37 CFR § 1.17(p) is submitted herewith.
		A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.
•	or a No	S is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR otice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A r 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted
		STATEMENT UNDER 37 CFR § 1.97(e):
	Each it	em contained in this IDS was first cited in any communication from a foreign patent office
in a co	unterpar	t foreign application not more than three months prior to the filing of this IDS.
	No iten	n contained in this IDS was cited in a communication from a foreign patent office in a
counte	part for	eign application, and, to the knowledge of the person signing this statement after making
reasona	able inqu	niry, no item of information contained in this IDS was known to any individual designated
in 37 C	FR § 1.:	56(c) more than three months prior to the filing of this IDS.

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PATENT TRADEMARK OFFICE

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Substitute or form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 4

	Complete if Known	
Application Number	10/806,934	
Filing Date	March 22, 2004	
First Named Inventor	Celso Bagaoisan et al	
Group Art Unit	3764	
Examiner Name	Not-Yet-Assigned	
Attorney Docket Number	315-7038072001 (ACI-004)	

			U.S. PATENT DOCU	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear
	1.	Re. 34,866	Kensey et al	02-21-1995	
	2.	2,115,492	Kober	04-26-1938	
•	3.	3,765,419	Usher	10-16-1973	
	4.	4,260,077	Schroeder	04-07-1981	
	5.	4,327,709	Hanson et al	05-04-1982	
	6.	4,362,150	Lombardi Jr. et al	12-07-1982	
	7.	4,540,404	Wolvek .	09-10-1985	
	8.	4,655,211	Sakamoto et al	04-07-1987	
	9.	4,738,658	Magro et al	04-19-1988	
	10.	4,838,280	Haaga	06-13-1989	
	11.	4,852,568	Kensey	08-01-1989	
	12.	4,890,612	Kensey	01-02-1990	
	13.	5,061,274	Kensey	10-29-1991	
	14.	5,104,375	Wolf et at	04-14-1992	
	15.	5,104,389	Deem et al	04-14-1992	
	16.	5,108,421	Fowler	04-28-1992	
	17.	5,192,300	Fowler	03-09-1993	
	18.	5,192,302	Kensey et al	03-09-1993	
	19.	5,221,259	Weldom et al	06-22-1993	
,	20.	5,258,042	Mehta	11-02-1993	
	21.	5,259,835	Clark et al	11-09-1993	
	22.	5,275,616	Fowler	01-04-1994	
	23.	5,290,310	Makower et al	03-01-1994	
	24.	5,306,254	Nash et al	04-26-1994	
	25.	5,320,639	Rudnick	06-14-1994	
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	27.	5,383,896	Gershony et al	01-24-1995	
	28.	5,391,183	Janzen et al	02-21-1995	
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	32.	5,437,292	Kipshidze et al	08-01-1995	
	33.	5,437,631	Janzen	08-01-1995	

	<u> </u>	
Examiner		Date
Signature		Considered
- Section 5		

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Substitute for form 1449A/PTO Complete if Known 10/806,934 Application Number INFORMATION DISCLOSURE March 22, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Celso Bagaoisan et al 3764 Group Art Unit (use as many sheets as necessary) Not-Yet-Assigned **Examiner Name** 315-7038072001 (ACI-004) Sheet of 4 Attorney Docket Number

		U.S. Patent Document		Data of D. Millandian of	Donne Columns Lines Mines Deliver
Examiner Initials *	Cite No.1	Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevar Passages or Relevant Figures Appear
	34.	5,441,517	Kensey et al	08-15-1995	
	35.	5,443,481	Lee	08-22-1995	
	36.	5,464,396	Barta et al	11-07-1995	
	37.	5,486,195	Myers et al	01-23-1996	
	38.	5,489,278	Abrahamson	02-06-1996	
	39.	5,514,158	Kanesaka	05-07-1996	
	40.	5,529,577	Hammerslag	06-25-1996	
	41.	5,591,204	Jansen et al	01-07-1997	
	42.	5,591,205	Fowler	01-07-1997	
	43.	5,601,602	Fowler	02-11-1997	
	44.	5,626,601	Gershony et al	05-06-1997	
	45.	5,637,086	Ferguson et al	, 06-10-1997	
	46.	5,660,849	Polson et al	08-26-1997	
	47.	5,716,375	Fowler	02-10-1998	
	48.	5,725,498	Janzen et al	03-10-1998	
	49.	5,725,551	Myers et al	03-10-1998	
	50.	5,728,122	Leschinsky et al	03-17-1998	
	51.	5,731,368	Stanley et al	03-24-1998	
	52.	5,741,223	Janzen et al	04-21-1998	
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	56.	5,782,860	Epstein et al	07-21-1998	
	57.	5,785,679	Abolfathi et al	07-28-1998	
	58.	5,795,331	Cragg et al	08-18-1998	
	59.	5,830,130	Janzen et al	11-03-1998	
	60.	5,836,970	Pandit	11-17-1998	
	61.	5,843,124	Hammerslag	12-01-1998	
	62.	5,916,236	Muijs Van de Moer et al	06-29-1999	
	63.	5,922,009	Epstein et al	07-13-1999	
	64.	5,928,266	Kontos	07-27-1999	
	65:	5,941,847	Huber et al	08-24-1999	
	66.	5,951,583	Jensen et al	09-14-1999	
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Substitute for form 1449A/PTO Complete if Known 10/806,934 **Application Number** INFORMATION DISCLOSURE Filing Date March 22, 2004 STATEMENT BY APPLICANT First Named Inventor Celso Bagaoisan et al Group Art Unit 3764 (use as many sheets as necessary) **Examiner Name** Not-Yet-Assigned 315-7038072001 (ACI-004) Sheet Attorney Docket Number

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	68.	6,017,359	Gershony et al	01-25-2000	
_	69.	6,022,361	Epstein et al	02-08-2000	
	70.	6,027,471	Fallon et al	02-22-2000	
	71.	6,045,570	Epstein et al	04-04-2000	
-	72.	6,051,248	Sawhney et al	04-18-2000	
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•	76.	6,238,412	Dubrul et al	05-29-2001	
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	89.	2001/0031948	Cruise et al	10-18-2001	,
	90.	2001/0047187	Milo et al	11-29-2001	
	91.	2002/0015724	Yang et al	02-07-2002	
	92.	2002/0062104	Ashby et al	05-23-2002	
	93.	2002/0111392	Cruise	08-15-2002	
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	95.	2002/0188319	Morris et al	12-12-2002	
	96.	2003/0008831	Yang et al	01-09-2003	
	97.	2003/0088269	Ashby	05-08-2003	
	98.	2003/0088271	Cragg et al	05-08-2003	
	99.	2003/0135234	Fisher et al	07-17-2003	
	100.	2003/0135235	Fisher et al	07-17-2003	
	101.	2003/0135236	Fisher et al	07-17-2003	

Examiner Signature	Date Considered	

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Examiner Initials *	Cite No. ¹	Number Kind Code ² (if known)			Passages or Relevant Figures Appear	
		2003/0139770 Fisher et al	07-24-2003			
	103.	2003/0139771	Fisher et al	07-24-2003		
	104.	104.	2003/0139772	Fisher et al	07-24-2003	
	105.	2003/0139773	Fisher et al	07-24-2003		
	106.	2003/0233120	Akerfeldt	12-18-2003		
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' FOREIGN PATENT DOCUMENTS								
Examiner Cite No.1	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆		
	107.	EP	0 476 178	A1	Bioplex Medical B.V	03-25-1992		
	108.	EP	0 482 350	B1	Datascope Investment Corp.	04-29-1992		
	109.	wo	92/22252		Ashridge AG	12-23-1992		

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